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2-3-00

# FISH RICHARDSON P.C.

February 1, 2000

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Attorney Docket No.: 06618/363002/CIT-2885

## Box Patent Application

Assistant Commissioner for Patents  
Washington, DC 20231

09/496135  
02/01/00

Presented for filing is a new continuation patent application of:

Applicant: MARVIN K. SIMON AND TSUN-YEE YAN

Title: CROSS CORRELATED TRELLIS CODED QUADRATURE  
MODULATION TRANSMITTER AND SYSTEM

Enclosed are the following papers, including those required to receive a filing date under 37 CFR 1.53(b):

### Pages

|               |                               |
|---------------|-------------------------------|
| Specification | 34                            |
| Claims        | 2                             |
| Abstract      | 1                             |
| Declaration   | [To be Filed at a Later Date] |
| Drawing(s)    | 14                            |

### Enclosures:

— Postcard.

This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/412,348, filed October 5, 1999. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

### CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL528178996US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 1, 2000

Date of Deposit

Signature

*M. J. Augur*  
*Michael J. Augur*

Typed or Printed Name of Person Signing Certificate

FISH & RICHARDSON P.C.

Assistant Commissioner for Patents  
February 1, 2000  
Page 2

Preliminary Amendment:

Page 1 of the specification, before line 1, insert **▲** This is a continuation of U.S. application serial no. 09/412,348, filed October 5, 1999, (pending).--

Priority is claimed under 35 USC §119 based on provisional application serial number 60/103,227, filed October 5, 1998.

The Retention Fee and extension of time is being paid concurrently. A copy is attached.

This application is entitled to small entity status. A small entity statement will be filed at a later date.

|  |     |
|--|-----|
| Basic filing fee                             | \$0 |
| Total claims in excess of 20 times \$9       | \$0 |
| Independent claims in excess of 3 times \$39 | \$0 |
| Fee for multiple dependent claims            | \$0 |
| Total filing fee:                            | \$0 |

No filing fee is being paid at this time. Please apply any other required fees, **EXCEPT FOR THE FILING FEE**, to deposit account 06-1050, referencing the attorney document number shown above. A duplicate copy of this transmittal letter is attached.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

SCOTT C. HARRIS  
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Respectfully submitted,

  
Scott C. Harris

Reg. No. 32,030

Enclosures

SCH/jzc

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